AHPRA and the National Boards – Q2 2015-16

Performance Report: Complaints management
quarterly performance data

Reporting period: 1 October 2015 to 31 December 2015

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Registration management

*No data about AHPRA’s management of registration matters has been provided in this report. AHPRA does not believe that the Health Ombudsman has jurisdiction in relation to AHPRA’s performance in the management of the registration functions under the National Law. AHPRA will soon begin publishing detailed information about registration management.*

Notifications management

1. Notifications overview

*The tables below show the number of notifications about registered health practitioners received during the period, the number of notifications closed during the reporting period and the number of notifications open at the end of the reporting period by stage.*

* 1. **Number of notifications received during the reporting period, by stream**

|  |  |
| --- | --- |
| **Stream** | **Total** |
| Health | 85 |
| Performance | 373 |
| Conduct | 95 |
| **Total** | **553** |

* 1. **Number of notifications closed during the reporting period, by stream**

|  |  |
| --- | --- |
| **Stream** | **Total** |
| Health | 37 |
| Performance | 182 |
| Conduct | 75 |
| **Total** | **294** |

* 1. **Number of notifications open at the end of the reporting period, by stream**

|  |  |
| --- | --- |
| **Stage** | **December** |
| Health | 147 |
| Performance | 685 |
| Conduct | 320 |
| **Total** | **1,152** |

1. Immediate actions overview

*Under the National Law, a National Board may take immediate action in relation to a registered health practitioner registered by the Board if the National Board reasonably believes that because of the registered health practitioner’s conduct, performance or health, the practitioner poses a serious risk to persons and it is necessary to take immediate action to protect public health or safety. The Board may also take immediate action if the registered health practitioner’s registration was improperly obtained because the practitioner or someone else gave the National Board information or a document that was false or misleading in a material particular or if the registered health practitioner’s registration has been cancelled or suspended under the law of a jurisdiction, whether in Australia or elsewhere, that is not a participating jurisdiction.*

*Under the National Law, a National Board may take immediate action in relation to a student registered by the Board if the National Board reasonably believes that the student poses a serious risk to persons because the student has been charged with an offence, or has been convicted or found guilty of an offence, that is punishable by 12 months imprisonment or more; or has, or may have, an impairment; or has, or may have, contravened a condition of the student’s registration or an undertaking given by the student to a National Board; and it is necessary to take immediate action to protect public health or safety. The Board may also take immediate action if the student’s registration has been cancelled or suspended under the law of a jurisdiction, whether in Australia or elsewhere, that is not a participating jurisdiction.*

*The tables below show the number of immediate actions commenced during the period, the number of immediate actions completed during the reporting period and the number of immediate actions open at the end of the reporting period.*

* 1. **Number of immediate actions commenced**

|  |  |
| --- | --- |
|  | **Total** |
| Immediate actions commenced | 21 |

* 1. **Number of immediate actions completed**

|  |  |
| --- | --- |
|  | **Total** |
| Immediate actions completed | 20 |

* 1. **Number of immediate actions open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Immediate actions open | 4 |

1. Outcomes and timeliness of completed immediate actions

*The tables below show the outcomes of immediate actions completed during the reporting period and timeliness of immediate actions completed during the reporting period. The tables below also show the timeliness of immediate actions open at the end of the reporting period.*

* 1. **Number of immediate actions completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Immediate action not proposed | 2 |
| Immediate action not taken  | 7 |
| Immediate action taken | 11 |
| **Total** | **20** |

* 1. **Immediate actions completed where immediate action was taken, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Accept undertaking | 1 |
| Impose conditions | 8 |
| Suspend registration | 2 |
| Accept surrender of registration | 0 |
| **Total** | **11** |

* 1. **Number of immediate actions completed where immediate action was taken, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 14 days | 4 |
| Completed in > 14 days | 7 |
| **Total** | **11** |

1. Timeliness of open immediate actions
	1. **Number of immediate actions open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **December** |
| Open for < 14 days | 3 |
| Open for > 14 days | 1 |
| **Total** | **4** |

1. Section 150 preliminary assessment overview

*Under s150 of the National Law, a National Board must, within 60 days after receipt of a matter, conduct a preliminary assessment of the notification and decide whether or not it relates to a person who is a health practitioner or a student registered by the Board, and whether or not it relates to a matter that is a ground for notification.*

*The tables below show the number of s150 preliminary assessments commenced and completed during the reporting period and the number of s150 preliminary assessments open at the end of the reporting period.*

* 1. **Number of s150 preliminary assessments commenced**

|  |  |
| --- | --- |
|  | **Total** |
| s150 preliminary assessments commenced | 605 |

* 1. **Number of s150 preliminary assessments completed**

|  |  |
| --- | --- |
|  | **Total** |
| s150 preliminary assessments completed | 560 |

* 1. **Number of s150 preliminary assessments open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| s150 preliminary assessments open | 68 |

1. Outcomes of completed s150 preliminary assessments

*The table below shows the outcome of each preliminary assessment conducted.*

* 1. **Number of s150 preliminary assessments completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the enquiry further |
| Progressed to notification | 537 |
| Managed as offence | 5 |
| Managed as complaint by co-regulator | 0 |
| Outcome of decision to close the enquiry |
| Insufficient particulars | 1 |
| HCE to retain | 0 |
| No further action | 0 |
| General information enquiry | 0 |
| Refer all of the notification to another body | 11 |
| Other | 6 |
| **Total** | **560** |

* 1. **Number of s150 preliminary assessments completed, by timeframe**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 30 days | 552 |
| Completed in > 30 days but < 60 days | 4 |
| Completed in > 60 days | 4 |
| **Total** | **560** |

1. Assessment overview

*After completing a preliminary assessment of a matter, Boards have the power to take no further action, investigate the matter further, refer the matter for health assessment, refer the matter for performance assessment, refer the matter for hearing by a panel or the responsible tribunal, or to take a form of action under Division 10 of Part 8 of the National Law. If a Board proposes to take action under Division 10 of Part 8 of the National Law, it must undertake a show cause process. This applies when the Board proposes to restrict a practitioner’s registration in some way as an interim step to keep the public safe. In these cases, the final assessment decision will not occur until the show cause process has concluded.*

*After a Board decision to take no further action after an assessment or to take action under Division 10 of Part 8, some matters may remain open to enable administrative processes to be completed.*

*The tables below show the number of assessments commenced and completed during the reporting period and the number of assessments open at the end of the reporting period.*

* 1. **Number of assessments commenced**

|  |  |
| --- | --- |
|  | **Total** |
| Assessments commenced | 549 |

* 1. **Number of assessments completed**

|  |  |
| --- | --- |
|  | **Total** |
| Assessments completed | 352 |

* 1. **Number of assessments open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Assessments open | 544 |

1. Outcomes and timeliness of completed assessments

*The tables below show the outcomes of the assessments completed during the reporting period. The tables below also show the timeliness of conducting the assessments during the reporting period, including any administrative or show cause steps required to complete the assessment.*

* 1. **Number of assessments completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the notification further |
| Investigation | 111 |
| Health or performance assessment | 33 |
| Panel hearing | 5 |
| Tribunal hearing | 1 |
| Other stage | 1 |
| Outcome of decision to close the notification |
| Accept undertaking | 4 |
| Caution | 43 |
| Impose conditions | 28 |
| No further action | 123 |
| Other | 3 |
| **Total** | **352** |

* 1. **Number of assessments completed, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 60 days | 122 |
| Completed in > 60 days but < 90 days | 120 |
| Completed in > 90 days | 110 |
| **Total** | **352** |

1. Timeliness of open assessments

*The tables below show the number of assessments that remain open at the end of the reporting period and the length of time that they have been in the assessment stage.*

* 1. **Number of assessments open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **December** |
| Open for < 60 days | 333 |
| Open for > 60 days but < 90 days | 112 |
| Open for > 90 days | 99 |
| **Total** | **544** |

* 1. **Number of assessments open at the end of the reporting period that have been open
	< 60 days, by stage**

|  |  |
| --- | --- |
| **Stage** | **December** |
| Pending Board decision | 299 |
| Post Board decision | 29 |
| Subject to Division 10 process | 5 |
| **Total** | **333** |

* 1. **Number of assessments open at the end of the reporting period that have been open
	> 60 days but < 90 days, by stage**

|  |  |
| --- | --- |
| **Stage** | **December** |
| Pending Board decision | 89 |
| Post Board decision | 9 |
| Subject to Division 10 process | 14 |
| **Total** | **112** |

* 1. **Number of assessments open at the end of the reporting period that have been open
	> 90 days, by stage**

|  |  |
| --- | --- |
| **Stage** | **December** |
| Pending Board decision | 47 |
| Post Board decision | 4 |
| Subject to Division 10 process | 48 |
| **Total** | **99** |

1. Investigations overview

*The tables below show the number of investigations commenced and completed during the reporting period and the number of investigations that remain open at the end of the reporting period.*

* 1. **Number of investigations commenced**

|  |  |
| --- | --- |
|  | **Total** |
| Investigations commenced | 111 |

* 1. **Number of investigations completed**

|  |  |
| --- | --- |
|  | **Total** |
| Investigations completed | 55 |

* 1. **Number of investigations open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Investigations open | 390 |

1. Outcomes and timeliness of completed investigations

*The tables below show the outcomes of investigations completed during the reporting period. Table 14.2 shows the timeliness of these completed investigations.*

* 1. **Number of investigations completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the notification further |
| Health or performance assessment | 2 |
| Panel hearing | 10 |
| Tribunal hearing | 1 |
| Other stage | 1 |
| Outcome of decision to close the notification |
| Accept undertaking | 4 |
| Caution | 8 |
| Impose conditions | 10 |
| No further action | 19 |
| **Total** | **55** |

* 1. **Number of investigations completed, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 6 months | 13 |
| Completed in > 6 months but < 12 months | 10 |
| Completed in > 12 months but < 18 months | 16 |
| Completed in > 18 months | 16 |
| **Total** | **55** |

1. Timeliness of open investigations

*The table below shows the number of investigations that remain open at the end of the reporting period and the length of time that they have been in the investigation stage.*

* 1. **Number of investigations open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **December** |
| Open for < 6 months | 183 |
| Open for > 6 months but < 12 months | 91 |
| Open for > 12 months but < 18 months | 68 |
| Open for > 18 months | 48 |
| **Total** | **390** |

1. Health assessment overview

*A health assessment is an assessment of a person to determine whether the person has an impairment and includes a medical, physical, psychiatric or psychological examination or test of the person.*

*The tables below show the number of health assessments commenced and completed during the reporting period and the number of health assessments open at the end of the reporting period.*

* 1. **Number of health assessments commenced**

|  |  |
| --- | --- |
|  | **Total** |
| Health assessments commenced | 32 |

* 1. **Number of health assessments completed**

|  |  |
| --- | --- |
|  | **Total** |
| Health assessments completed | 22 |

* 1. **Number of health assessments open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Health assessments open | 70 |

1. Outcomes and timeliness of completed health assessments

*The tables below show the outcomes of the health assessments completed during the reporting period and the timeliness of these health assessments.*

*The tables below also show the timeliness of open health assessments at the end of the reporting period.*

* 1. **Number of health assessments completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the notification further |
| Investigation | 0 |
| Panel hearing | 0 |
| Tribunal hearing | 0 |
| Outcome of decision to close the notification |
| Accept undertaking | 2 |
| Impose conditions | 9 |
| No further action | 11 |
| **Total** | **22** |

* 1. **Number of health assessments completed, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 6 months | 12 |
| Completed in > 6 months | 10 |
| **Total** | **22** |

1. Timeliness of open health assessments
	1. **Number of health assessments open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **December** |
| Open for < 6 months | 56 |
| Open for > 6 months | 14 |
| **Total** | **70** |

1. Performance assessment overview

*A performance assessment is an assessment of the knowledge, skill or judgement possessed, or care exercised by a registered health practitioner in the practice of the health profession in which the practitioner is registered.*

*The tables below show the number of performance assessments commenced and completed during the reporting period and the number of performance assessments open at the end of the reporting period.*

* 1. **Number of performance assessments commenced**

|  |  |
| --- | --- |
|  | **Total** |
| Performance assessments commenced | 3 |

* 1. **Number of performance assessments completed**

|  |  |
| --- | --- |
|  | **Total** |
| Performance assessments completed | 3 |

* 1. **Number of performance assessments open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Performance assessments open | 10 |

1. Outcomes and timeliness of completed performance assessments

*The tables below show the outcomes of the performance assessments completed during the reporting period and the timeliness of these performance assessments.*

* 1. **Number of performance assessments completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the notification further |
| Investigation | 0 |
| Panel hearing | 0 |
| Tribunal hearing | 0 |
| Other stage | 0 |
| Outcome of decision to close the notification |
| Accept undertaking | 0 |
| Caution | 0 |
| Impose conditions | 2 |
| No further action | 1 |
| **Total** | **3** |

* 1. **Number of performance assessments completed, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 6 months | 1 |
| Completed in > 6 months | 2 |
| **Total** | **3** |

1. Timeliness of open performance assessments

*Table 21.1 shows the timeliness of open performance assessments at the end of the reporting period.*

* 1. **Number of performance assessments open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **December** |
| Open for < 6 months | 8 |
| Open for > 6 months | 2 |
| **Total** | **10** |

Legal services management

1. Panel hearing overview

*A National Board may establish a performance and professional standards panel if the Board reasonably believes, because of a notification or for any other reason, that the way a registered health practitioner practises the health profession is, or may be, unsatisfactory or the registered health practitioner’s professional conduct is, or may be, unsatisfactory and the Board decides it is necessary or appropriate for the matter to be referred to a panel.*

*A National Board may establish a health panel if the Board reasonably believes, because of a notification or for any other reason, that a registered health practitioner or student has or may have an impairment and the Board decides it is necessary or appropriate for the matter to be referred to a panel.*

*The Panel hearing overview encompasses data about both performance and professional standards panels and health panels.*

*The tables below show the number of panel hearings commenced and completed during the reporting period and the number of panel hearings that are open at the end of the reporting period.*

* 1. **Number of panel hearings commenced**

|  |  |
| --- | --- |
|  | **Total** |
| Panel hearings commenced | 19 |

* 1. **Number of panel hearings completed**

|  |  |
| --- | --- |
|  | **Total** |
| Panel hearings completed | 23 |

* 1. **Number of panel hearings open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Panel hearings open | 23 |

1. Outcomes and timeliness of completed panel hearings

*The tables below show the outcomes of the panel hearings completed during the reporting period and the timeliness of these completed panel hearings.*

* 1. **Number of panel hearings completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the notification further |
| Investigation | 0 |
| Health or performance assessment | 0 |
| Tribunal hearing | 0 |
| Other stage | 3 |
| Outcome of decision to close the notification |
| Accept undertaking | 0 |
| Caution | 5 |
| Impose conditions | 11 |
| No further action | 3 |
| Reprimand | 1 |
| **Total** | **23** |

* 1. **Number of panel hearings completed, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 6 months | 18 |
| Completed in > 6 months | 5 |
| **Total** | **23** |

1. Timeliness of open panel hearings

*The table below shows the timeliness of panel hearings that remain open at the end of the reporting period.*

* 1. **Number of panel hearings open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **December** |
| Open for < 6 months | 19 |
| Open for > 6 months | 4 |
| **Total** | **23** |

1. Tribunal matters overview

*A National Board refers a matter about a registered health practitioner or student to a responsible tribunal if:*

*(a) the Board forms the reasonable belief, that the way in which a practitioner behaved constitutes professional misconduct or*

*(b) a panel established by the Board asks the Board to refer the matter and it is not a matter that must be referred to the health ombudsman or the health ombudsman asks the Board under section 193(2) to continue to deal with the matter under the National Law.*

*The responsible tribunal for Queensland is the Queensland Civil and Administrative Tribunal (QCAT).*

*The tables below show the number of matters referred to the tribunal during the reporting period, the number of matters referred to the tribunal completed during the reporting period and the number of matters referred to the tribunal which remain open at the end of the reporting period.*

* 1. **Number of matters referred to the tribunal**

|  |  |
| --- | --- |
|  | **Total** |
| Matters referred to tribunal | 2 |

* 1. **Number of matters referred to the tribunal completed**

|  |  |
| --- | --- |
|  | **Total** |
| Matters referred to tribunal completed | 2 |

* 1. **Number of matters referred to the tribunal open at the end of the reporting period**

|  |  |
| --- | --- |
|  | **December** |
| Matters referred to tribunal open | 115 |

1. Outcomes and timeliness of completed matters referred to the tribunal

*The tables below show the outcomes of matters referred to the tribunal completed during the reporting period and the timeliness of matters referred to the tribunal completed during the reporting period.*

* 1. **Number of matters referred to the tribunal completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Outcome of decision to take the notification further |
| Other stage | 0 |
| Outcome of decision to close the notification |
| Accept undertaking | 0 |
| Caution | 0 |
| Cancel registration | 0 |
| Fine registrant | 0 |
| Impose conditions | 0 |
| No further action | 0 |
| Practitioner surrender | 0 |
| Reprimand | 0 |
| Suspend registration | 0 |
| Other | 2 |
| **Total** | **2** |

* 1. **Number of matters referred to the tribunal completed, by time frame**

|  |  |
| --- | --- |
| **Time frame** | **Total** |
| Completed in < 12 months | 0 |
| Completed in > 12 months | 2 |
| **Total** | **2** |

1. Timeliness of open matters referred to the tribunal

*The table below shows the timeliness of open matters referred to the tribunal at the end of the reporting period. It details those matters in which the complaint has yet to be filed with the tribunal and those where the complaint has been filed with the tribunal.*

* 1. **Number of matters referred to the tribunal open at the end of the reporting period, by time frame**

|  |  |
| --- | --- |
| **Stage** | **December** |
| Matters referred to the tribunal open for ≤ 12 months |
| Yet to be filed with a responsible tribunal | 5 |
| Filed with a responsible tribunal | 8 |
| Matters referred to the tribunal open for > 12 months |
| Yet to be filed with a responsible tribunal | 2 |
| Filed with a responsible tribunal | 100 |
| **Total** | **115** |

Monitoring and compliance

1. Registrant monitoring overview

*Health practitioners and students may have restrictions placed on their registration for a range of reasons including as a result of a notification, the assessment of an application for registration or a renewal of registration, or after a referral to the tribunal. These restrictions are actively monitored to ensure compliance.*

*The tables below show, by stream, the number of monitoring cases commenced and completed during the reporting period and the number of monitoring cases open at the end of the reporting period. A practitioner may have more than one monitoring case.*

*The tables below show the number of practitioners with conditions or undertakings on their registration.*

* 1. **Number of monitoring cases commenced**

|  |  |
| --- | --- |
| **Stream** | **Total** |
| Conduct | 44 |
| Health | 61 |
| Performance | 59 |
| Prohibited Practitioner/Student | 6 |
| **Total** | **170** |

* 1. **Number of monitoring cases completed**

|  |  |
| --- | --- |
| **Stream** | **Total** |
| Conduct | 27 |
| Health | 68 |
| Performance | 48 |
| Prohibited Practitioner/Student | 0 |
| **Total** | **143** |

* 1. **Number of monitoring cases open at the end of the reporting period**

|  |  |
| --- | --- |
| **Stream** | **December** |
| Conduct | 155 |
| Health | 303 |
| Performance | 188 |
| Prohibited Practitioner / Student | 7 |
| **Total** | **653** |

* 1. **Number of practitioners with conditions or undertakings on their registration**

|  |  |
| --- | --- |
| **Profession** | **December** |
| ATSIHP | 16 |
| Chinese Medicine Practitioner | 125 |
| Chiropractor | 7 |
| Dental Practitioner | 43 |
| Medical Practitioner | 312 |
| Medical Radiation Practitioner | 34 |
| Midwife | 19 |
| Nurse | 295 |
| Nurse and Midwife | 20 |
| Occupational Therapist | 15 |
| Optometrist | 2 |
| Osteopath | 1 |
| Pharmacist | 31 |
| Physiotherapist | 14 |
| Podiatrist | 3 |
| Psychologist | 25 |
| **Total** | **962** |

* 1. **Number of suspended registrants at the end of the reporting period, by profession**

|  |  |
| --- | --- |
| **Profession** | **December** |
| Chinese Medicine Practitioner | 2 |
| Medical Practitioner | 12 |
| Nurse | 35 |
| Nurse and Midwife | 2 |
| Psychologist | 2 |
| **Total** | **53** |

* 1. **Number of practitioners subject to a reprimand at the end of the reporting period, by profession**

|  |  |
| --- | --- |
| **Profession** | **December** |
| Chiropractor | 1 |
| Dental Practitioner | 5 |
| Medical Practitioner | 29 |
| Nurse | 6 |
| Pharmacist | 7 |
| Physiotherapist | 1 |
| Podiatrist | 1 |
| Psychologist | 7 |
| **Total** | **57** |

* 1. **Number of matters resulting in a practitioner being cautioned, by profession**

|  |  |
| --- | --- |
| **Profession** | **December** |
| Dental Practitioner | 5 |
| Medical Practitioner | 23 |
| Midwife | 1 |
| Nurse | 15 |
| Occupational Therapist | 1 |
| Pharmacist | 10 |
| Psychologist | 1 |
| **Total** | **56** |

1. Outcomes and duration of completed monitoring cases

*The tables below show the outcomes of monitoring cases that were completed during the reporting period.*

*The tables below show the duration of monitoring cases completed during the reporting period. It should be noted that some matters will require monitoring of compliance activity for significant periods, including some for the lifespan of a practitioner’s registration. A National Board decides when to remove a requirement for monitoring a practitioner’s compliance with conditions on their registration when it is assured conditions are no longer needed to keep the public safe. The length of time a practitioner is monitored is tailored to the risk posed by the individual practitioner.*

* 1. **Number of monitoring cases completed, by outcome**

|  |  |
| --- | --- |
| **Outcome** | **Total** |
| Acquired non-practising registration | 5 |
| Acquired unrestricted registration | 2 |
| Change or remove conditions or undertaking –under s125 | 59 |
| Removal of condition or revocation of undertaking – under s127 | 40 |
| Completed board requirements | 9 |
| Deceased | 2 |
| Elected not to renew | 6 |
| Impose conditions | 1 |
| Panel order | 4 |
| Surrendered registration | 4 |
| Tribunal order | 3 |
| Other | 8 |
| **Total** | **143** |

* 1. **Number of monitoring cases completed, by duration**

|  |  |
| --- | --- |
| **Duration** | **Total** |
| Completed in < 12 months | 59 |
| Completed in > 12 months but < 24 months | 46 |
| Completed in > 24 months | 38 |
| **Total** | **143** |

1. Duration of open monitoring cases
	1. **Number of monitoring cases open, by duration**

|  |  |
| --- | --- |
| **Duration** | **December** |
| Open for < 12 months | 354 |
| Open for > 12 months but < 24 months | 177 |
| Open for > 24 months | 122 |
| **Total** | **653** |

1. Status of open monitoring cases

*The tables below show the number of open monitoring cases, risk profile and level of compliance. These tables report on the risk profile of the practitioner and the compliance status of a monitoring case. When AHPRA’s monitoring reveals a practitioner’s non-compliance, the matter is referred to a Board to consider further regulatory action. The time frame for this action depends on the level of risk posed to the public by the non-compliance.*

*The risk profile identifies those registrants that will cause the public, themselves or the reputation of the National Boards and AHPRA significant and immediate harm if the behaviour or conduct leading to the restrictions was to recur. A registrant is evaluated to have a normal risk profile if the recurrence of the behaviour or conduct will not cause the public, the registrant or the reputation of the National Boards and AHPRA significant and immediate harm. A registrant is evaluated to have a high risk profile if the recurrence of the behaviour will cause significant and immediate harm.*

*The risk posed by any breach of specific restrictions is different in each case and is managed individually. Not all breaches of restrictions require action to protect the public. For example, a practitioner who is two weeks late completing a required education program due to illness, has breached the condition on their registration, but the breach does not demonstrate increased risk to the public which needs to be managed.*

*The risk profile of each practitioner being monitored determines both how swiftly AHPRA responds and what action is taken.*

* 1. **Number of monitoring cases where practitioner risk profile was normal**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Profession** | **Compliant** | **Suspected non-compliant** | **Non-compliant** | **Compliance status yet to be assigned1** |
| ATSIHP | 1 |  |  |  |
| Chinese Medicine Practitioner | 1 |  |  |  |
| Chiropractor | 6 | 1 |  |  |
| Dental Practitioner | 26 | 1 | 2 | 3 |
| Medical Practitioner | 37 | 3 | 2 |  |
| Medical Radiation Practitioner | 3 |  | 1 |  |
| Midwife | 5 |  |  |  |
| Nurse | 135 | 4 | 2 | 8 |
| Occupational Therapist | 3 |  |  | 1 |
| Optometrist | 1 |  |  |  |
| Pharmacist | 28 | 2 | 1 | 2 |
| Physiotherapist | 6 | 1 |  |  |
| Podiatrist | 2 | 1 |  |  |
| Psychologist | 13 |  | 1 | 2 |
| Null Profession | 2 |  |  |  |
| **Total** | **269** | **13** | **9** | **16** |

* 1. **Number of monitoring cases where practitioner risk profile was high**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Profession** | **Compliant** | **Suspected non-compliant** | **Non-compliant** | **Compliance status yet to be assigned1** |
| Chinese Medicine Practitioner | 1 |  |  |  |
| Dental Practitioner | 13 | 1 |  | 1 |
| Medical Practitioner | 158 | 10 | 12 |  |
| Medical Radiation Practitioner | 2 |  |  |  |
| Midwife | 5 |  | 1 |  |
| Nurse | 98 | 9 | 13 |  |
| Occupational Therapist | 1 |  |  |  |
| Osteopath | 1 |  |  |  |
| Pharmacist | 3 | 1 |  |  |
| Physiotherapist | 2 |  | 1 |  |
| Podiatrist | 1 |  |  |  |
| Psychologist | 10 | 1 |  |  |
| Null Profession | 1 |  |  |  |
| **Total** | **296** | **22** | **27** | **1** |

1 – Where the compliance status is yet to be assigned, in Tables 31.1 and 35.2, it relates to a Health, Performance or Conduct case not yet due for a Compliance Status update.

1. Tables 31.3 to 31.10

AHPRA does not currently have system capability to generate data for requests 31.3 to 31.10. Some of this data will be manually collected as part of the Health Ombudsman’s quality assurance activities.

Online National Register

1. Timeliness of changes to online register

AHPRA does not currently have system capability to measure the time taken to update the National Register when a practitioner’s registration is cancelled or suspended or when sanctions are imposed or changed. A national project which will enable this information to be provided in the future is currently being scoped.