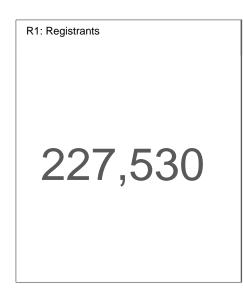
Ahpra Performance Report

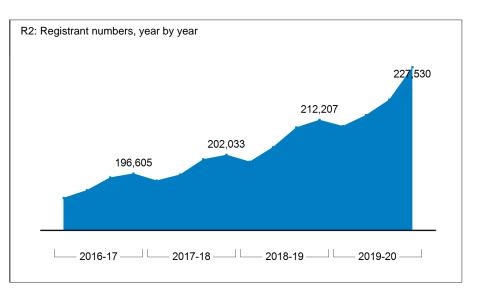
New South Wales

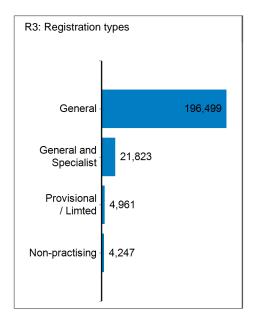
Reporting period: June 2020

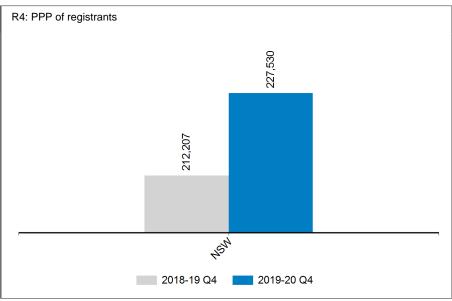


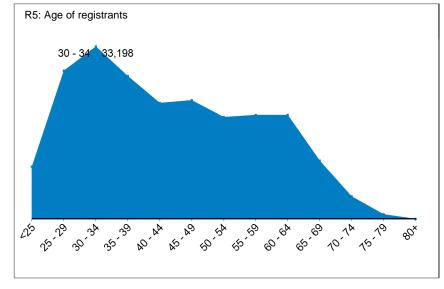
Registration of health practitioners

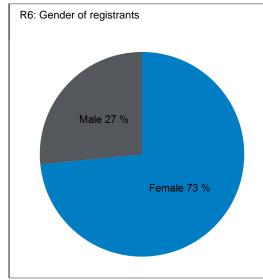


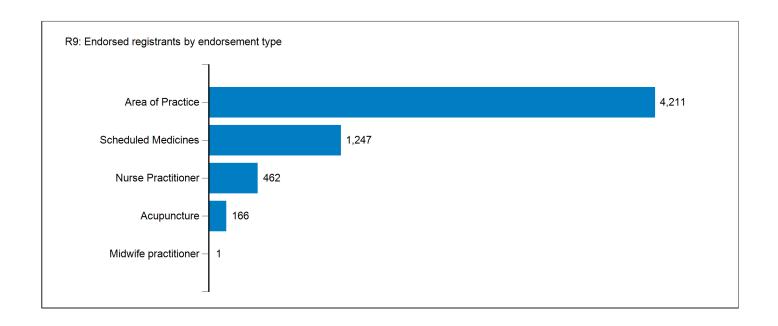




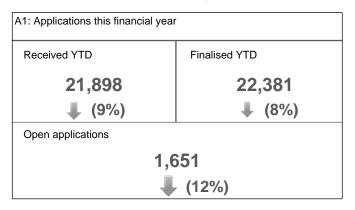


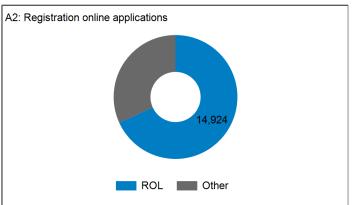


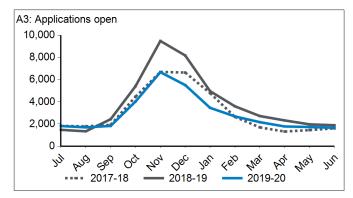




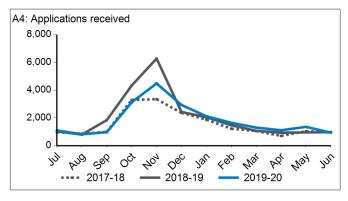
Applications for registration



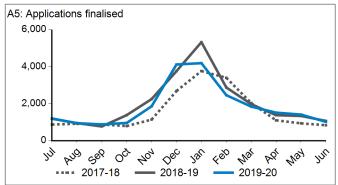




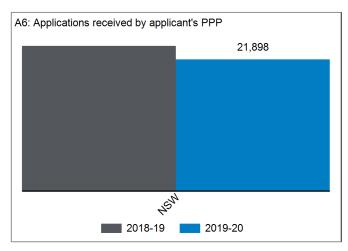
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	2,427	1,822	ļ	(605)	(25%)
Q2	8,167	5,501	ļ	(2,666)	(33%)
Q3	2,712	2,162	↓	(550)	(20%)
Q4	1,884	1,651	↓	(233)	(12%)

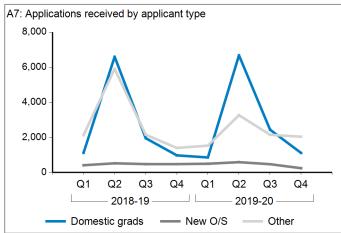


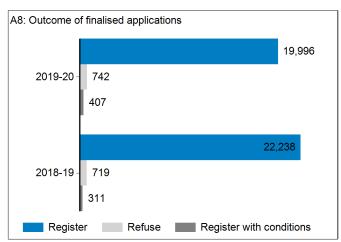
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	3,671	2,890	\downarrow	(781)	(21%)
Q2	13,034	10,539	\downarrow	(2,495)	(19%)
Q3	4,591	5,069	1	478	10%
Q4	2,859	3,400	1	541	19%
YTD	24,155	21,898	↓	(2,257)	(9%)

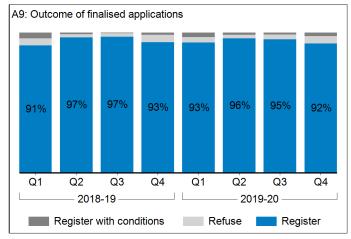


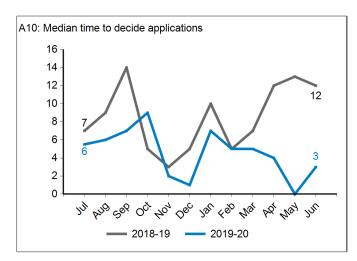
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	2,900	3,022	1	122	4%
Q2	7,386	6,931	\downarrow	(455)	(6%)
Q3	10,172	8,488	\downarrow	(1,684)	(17%)
Q4	3,777	3,940	1	163	4%
YTD	24,235	22,381	\downarrow	(1,854)	(8%)

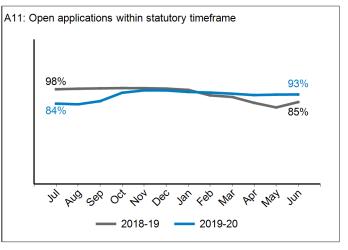




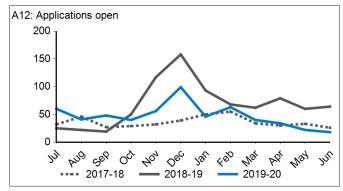




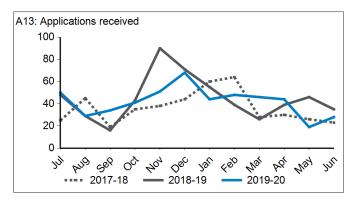




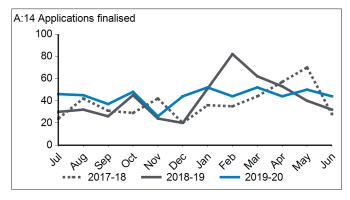
Endorsement Applications for Registration



FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	19	48	1	29	153%
Q2	158	99	↓	-59	(37%)
Q3	62	40	↓	-22	(35%)
Q4	64	18	↓	-46	(72%)

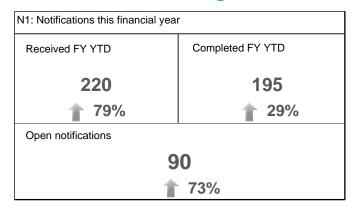


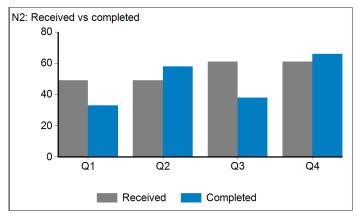
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	93	113	1	20	22%
Q2	204	160	\downarrow	(44)	(22%)
Q3	120	138	1	18	15%
Q4	120	91	\downarrow	(29)	(24%)
YTD	537	502	ļ	(35)	(7%)

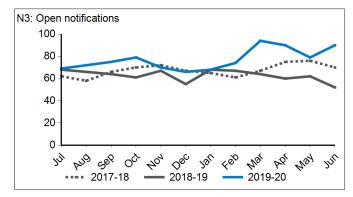


FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	88	128	1	40	45%
Q2	89	118	1	29	33%
Q3	195	148	↓	(47)	(24%)
Q4	125	138	1	13	10%
YTD	497	532	1	35	7%

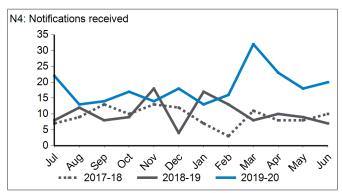
Notifications about Registrants



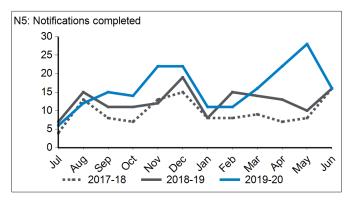




FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	64	75	1	11	17%
Q2	55	66	1	11	20%
Q3	64	94	1	30	47%
Q4	52	90	1	38	73%

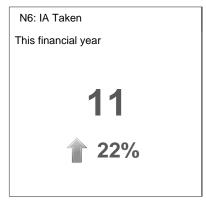


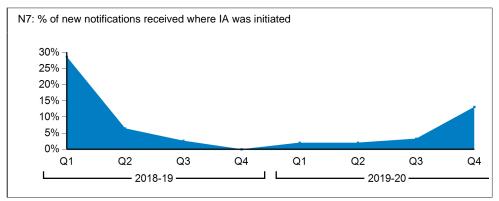
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	28	49	1	21	75%
Q2	31	49	1	18	58%
Q3	38	61	1	23	61%
Q4	26	61	1	35	135%
YTD	123	220	1	97	79%

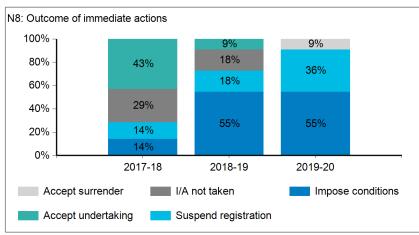


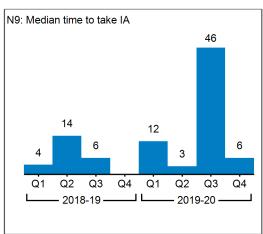
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	33	33		0	0%
Q2	42	58	1	16	38%
Q3	37	38	1	1	3%
Q4	39	66	1	27	69%
YTD	151	195	1	44	29%

Immediate action analysis

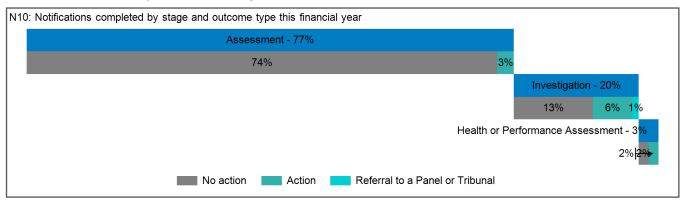


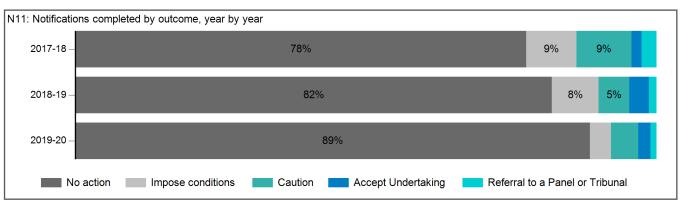




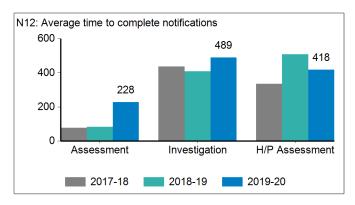


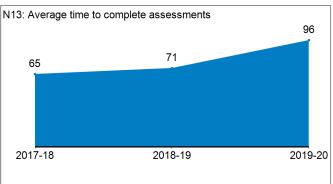
Notification completion analysis

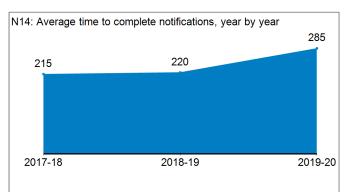


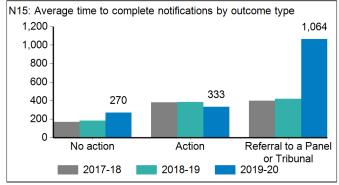


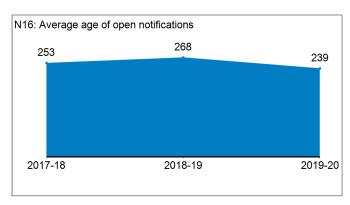
Notification timeliness analysis

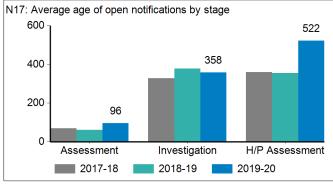


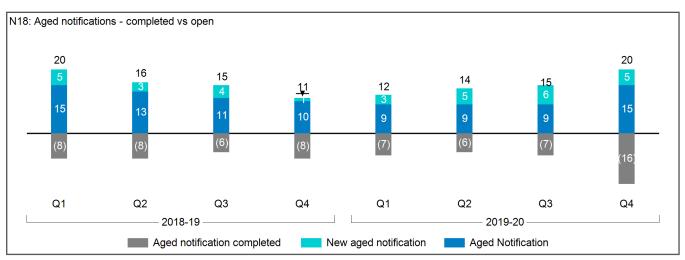




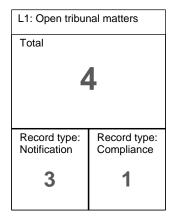


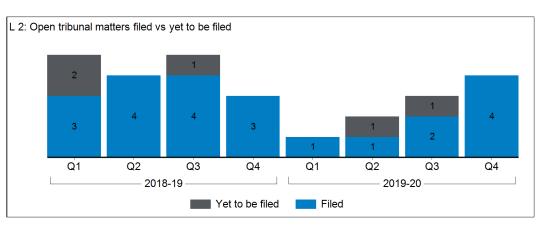


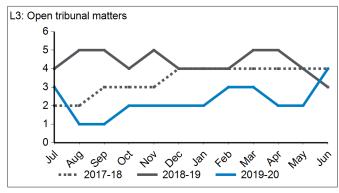




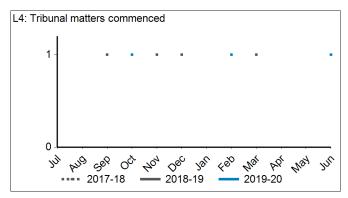
Tribunal matters



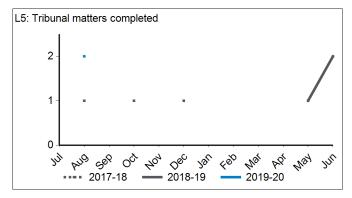




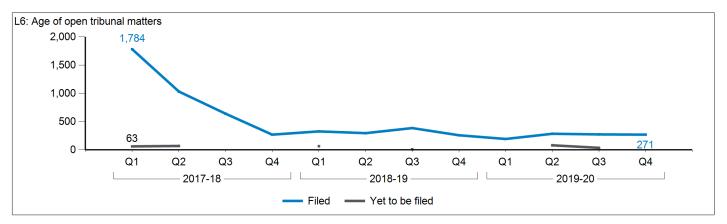
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	5	1	↓	(4)	(80%)
Q2	4	2	↓	(2)	(50%)
Q3	5	3	↓	(2)	(40%)
Q4	3	4	1	1	33%

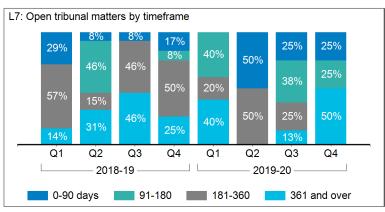


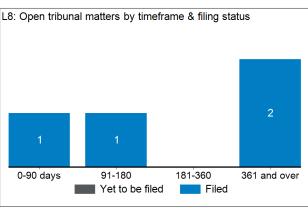
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1					
Q2	1	1		0	0%
Q3	1	1		0	0%
Q4		1	1	1	
YTD	2	3	1	1	50%

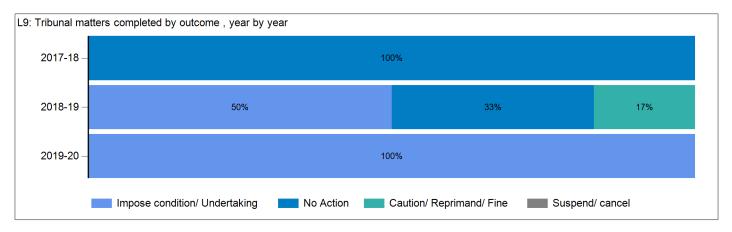


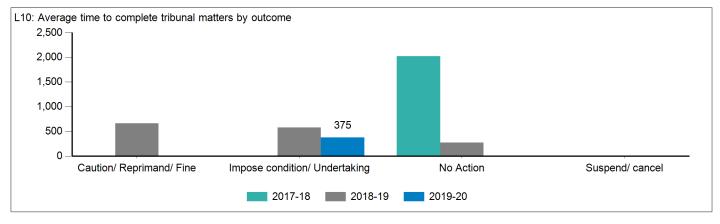
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	1	2	1	1	100%
Q2	2		\downarrow	(2)	(100%)
Q3					
Q4	3		\downarrow	(3)	(100%)
YTD	6	2	\downarrow	(4)	(67%)



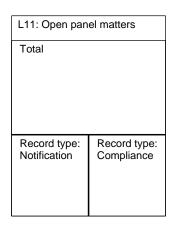


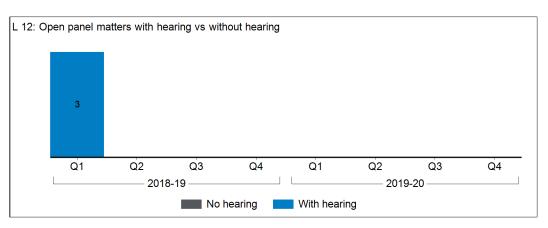


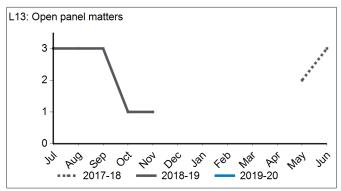




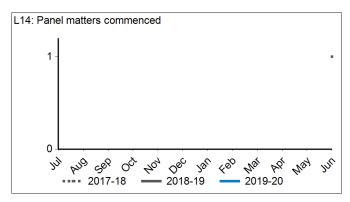
Panel matters



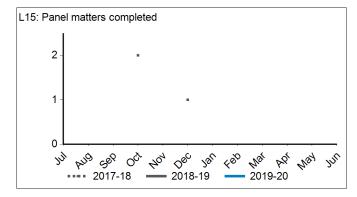




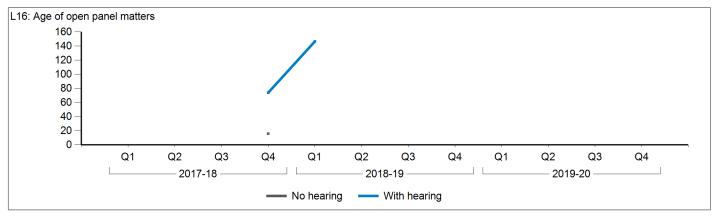
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	3		\downarrow	(3)	(100%)
Q2					
Q3					
Q4					

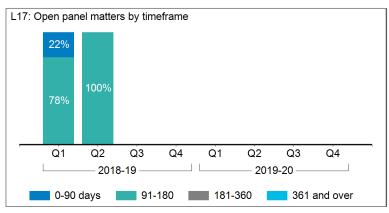


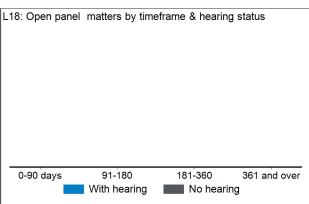
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1					
Q2					
Q3					
Q4					
YTD					

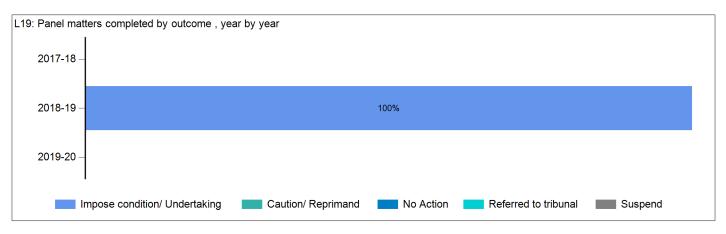


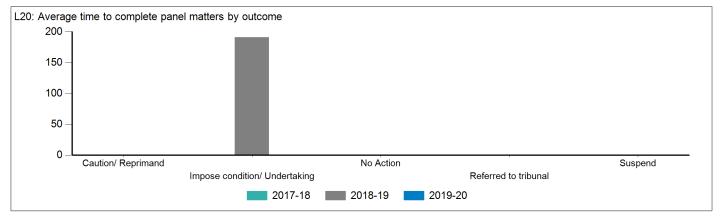
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1					
Q2	3		\downarrow	(3)	(100%)
Q3					
Q4					
YTD	3		↓	(3)	(100%)



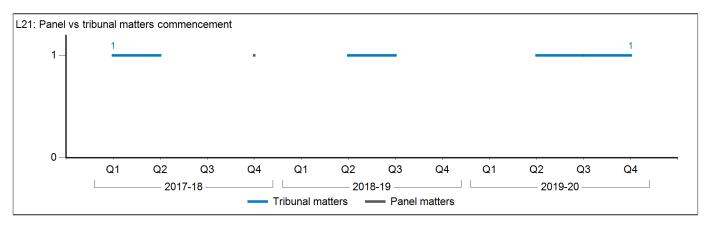


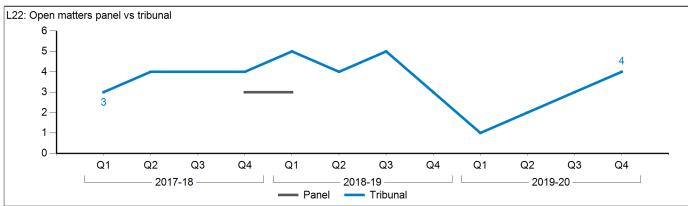




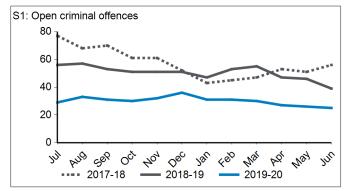


Panel vs tribunal matters

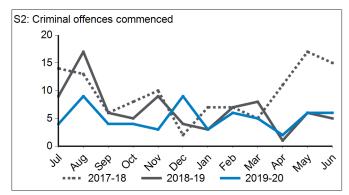




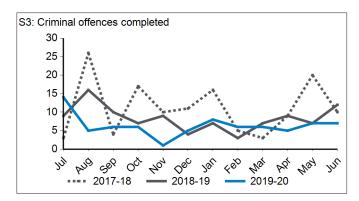
Criminal offences



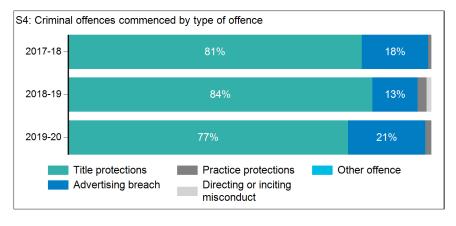
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	53	31	\downarrow	(22)	(42%)
Q2	51	36	\downarrow	(15)	(29%)
Q3	55	30	↓	(25)	(45%)
Q4	39	25	ļ	(14)	(36%)

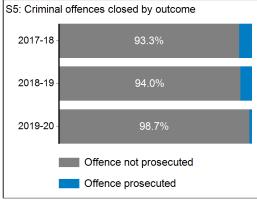


FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	32	17	\downarrow	(15)	(47%)
Q2	18	16	↓	(2)	(11%)
Q3	18	14	↓	(4)	(22%)
Q4	12	14	1	2	17%
YTD	80	61	↓	(19)	(24%)

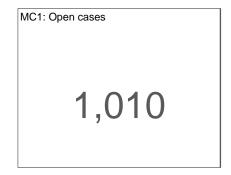


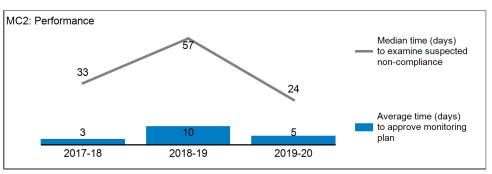
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	35	25	\downarrow	(10)	(29%)
Q2	20	12	ļ	(8)	(40%)
Q3	17	20	1	3	18%
Q4	28	19	ļ	(9)	(32%)
YTD	100	76	\downarrow	(24)	(24%)

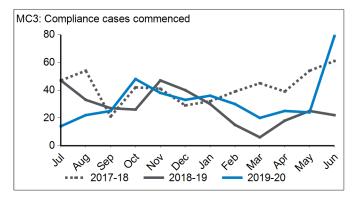




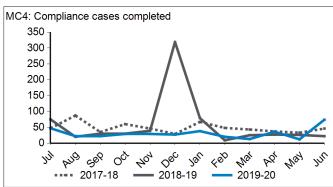
Monitoring and compliance



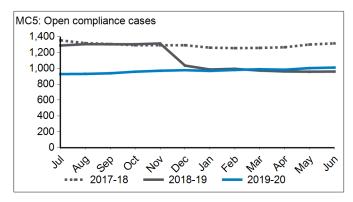




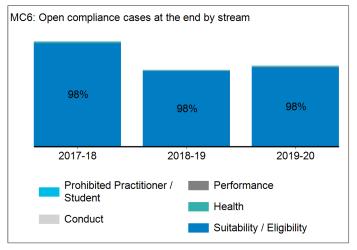
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	107	61	\downarrow	(46)	(43%)
Q2	113	119	1	6	5%
Q3	51	86	1	35	69%
Q4	65	128	1	63	97%
YTD	336	394	1	58	17%

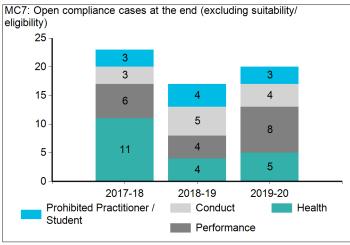


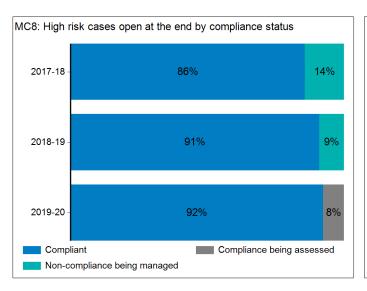
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	125	91	\downarrow	(34)	(27%)
Q2	388	85	↓	(303)	(78%)
Q3	113	71	↓	(42)	(37%)
Q4	75	122	1	47	63%
YTD	701	369	↓	(332)	(47%)

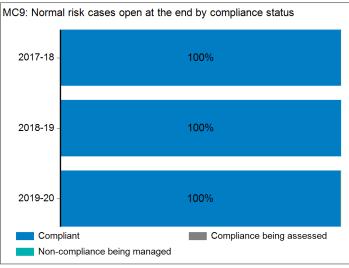


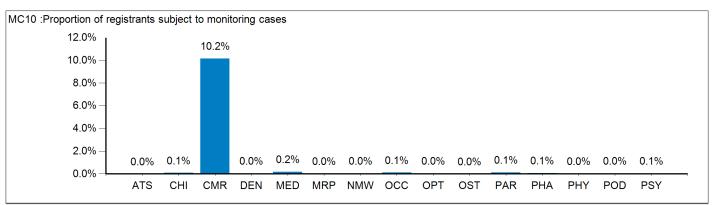
FY / Qtr	2018-19	2019-20 (YTD)	Δ	#	%
Q1	1,304	938	↓	(366)	(28%)
Q2	1,035	977	↓	(58)	(6%)
Q3	972	989	1	17	2%
Q4	960	1,010	1	50	5%











Report Glossary

Note: report content provided below does not necessarily apply to all National Board reports. The reference numbers in the performance report should be used to find relevant descriptions below.

Report content	Description
Registration of health practitioners	
R1: Registrants	The number of registered health practitioners at the end of the reporting quarter.
R2: Registrant numbers, year by year	The number of registered health practitioners at the end of each reporting quarter.

R3: Registration types	The number of registered health practitioners, by the type of registration held at the end of the reporting quarter.
R4: PPP of Registrants	The number of registered health practitioners, by their principal place of practice ('PPP') at the end of the reporting quarter.
R5: Age of Registrants	The number of registered health practitioners in various age groups at the end of the reporting quarter.
R6: Gender of Registrants	The gender breakdown of registered health practitioners at the end of the reporting quarter.
R7: Endorsed registrants by endorsement type	The number of registered health practitioners in various endorsement types at the end of the reporting quarter.
R8: Registrants by profession	The number of registered health practitioners in each profession at the end of the reporting quarter.
R9: Registrants by division	The number of registered practitioners by division
Applications for registration	
A1: Applications this financial year	The number of applications for registration this financial year that have been received, finalised and remain open.
A2: Registration online applications	The number and proportion of registered health practitioners that apply for registration online, rather than by hard copy form.
A3: Applications open	The blue line on this line graph shows the open applications for registration at the end of each month during the current financial year. The grey line shows those open during the previous financial year. The table on the right of the line graph shows the number of open applications at the end of each quarter and provides the difference compared to same time for the previous financial year.
A4: Applications received	The blue line on this line graph shows the applications for registration received during each month of the current financial year. The grey line shows those received during the previous financial year. The table on the right of the line graph shows the number of applications received during each quarter and provides the difference compared to same period for the previous financial year.
A5: Applications finalised	The blue line on this line graph shows the applications for registration finalised during each month of the current financial year. The grey line shows those finalised during the previous financial year. The table on the right of the line graph shows the number of applications finalised during each quarter and provides the difference compared to same period for the previous financial year.
A6: Applications received by applicant's PPP	The number of applications received each financial year by the applicant's principal place of practice during that financial year.
A7: Applications received by applicant type	The trend of applications received each reporting quarter for new domestic graduates (blue line), new overseas qualified applicants (dark grey line) and all other applicants (light grey line).
A8: Outcomes of finalised applications	The number of applications for registration finalised by the outcome of the application for the two previous financial years.
A9: Outcome of finalised applications	The number of applications for registration finalised by the outcome of the application for the current and previous financial years broken down into reporting quarters.

A10: Median time to decide applications	The median time to decide applications each month of the previous financial year and current financial year to date. The timeframe commences when the applicant has submitted all required documentation until a final decision has been made on the application.
A11: Open applications within statutory timeframe	The Statutory timeframe is the 90 calendar day period allowed to decide an application for registration under s. 85 of the National Law. The graph highlights the percentage of open applications that were within the statutory timeframe at the end of the month over the current financial year and previous financial year.
Endorsement applications for registra	tion
A12: Applications open	The blue line on this line graph shows the open applications for endorsement at the end of each month during the current financial year. The grey line shows those open during the previous financial year. The table on the right of the line graph shows the number of open applications for endorsement at the end of each quarter and provides the difference compared to same time for the previous financial year.
A13: Applications received	The blue line on this line graph shows the applications for endorsement received during each month of the current financial year. The grey line shows those received during the previous financial year. The table on the right of the line graph shows the number of applications for endorsement received during each quarter and provides the difference compared to same period for the previous financial year.
A 14: Applications finalised	The blue line on this line graph shows the applications for endorsement finalised during each month of the current financial year. The grey line shows those finalised during the previous financial year. The table on the right of the line graph shows the number of applications for endorsement finalised during each quarter and provides the difference compared to same period for the previous financial year.

Notifications about registrants

N1: Notifications this financial year	The number of notifications that have been received, completed and remain open this financial year and the percentage compared with same time previous financial year.
	Open notifications are those notifications that remain open at the end of the reporting period, in the assessment, investigation, health or performance assessment stage. Note: Matters open in the Panel Hearing or Tribunal Hearing stage are excluded from the Open Notifications count, but are counted in the Legal Services section of the report. Received notifications are those that are received by Ahpra, including those referred to Ahpra by the Office of the Health Ombudsman in QLD, that are decided under section 149 of the National Law (s. 150 in QLD) to meet the requirements of a notification.
	Completed notifications include the notifications that are closed from the assessment, investigation, or health and performance stages, or those that are progressed from these stages to a Panel Hearing or Tribunal Hearing. For example: a matter in investigation that is decided to be referred to a Tribunal Hearing will be counted as a notification completion. Likewise a matter in which No Further action is decided at the assessment stage will be counted as a completed notification. Note: Completed notification is different from notifications closed which includes closures for all stages (including Panel Hearing and Tribunal Hearings).
N2: Received vs completed	The number of notifications that have been received compared to the number of notifications that have been completed during each reporting quarter in the current financial year.
N3: Open Notifications	The blue line on this line graph shows the number of notifications opened during each reporting month of the current financial year compared with the numbers opened during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of notifications that remained open at the end of each reporting quarter and also provides the difference compared to same time last financial year.
N4: Notifications received	The blue line on this line graph shows the number of notifications received during each reporting month of the current financial year compared with the numbers received during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of notifications received during each reporting quarter and also provides the difference compared to same time last financial year.
N5: Notifications completed	The blue line on this line graph shows the number of notifications completed during each reporting month of the current financial year compared with the numbers completed during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of notifications completed during each reporting quarter and also provides the difference compared to same time last financial year.

N6: I/A Taken	This section shows the total number Immediate Actions ('I/A') taken during this financial year and percentage compared with same time previous financial year.
	Immediate Action means the interim step taken to restrict a practitioner's registration while a complaint is investigated. Immediate actions include:
	 the suspension of, or imposition of a condition on, a registered health practitioner's or student's registration accepting an undertaking from a registered health practitioner or student, and
	- accepting the surrender of a registered health practitioner's or student's registration.
N7: % of new notifications received where I/A was initiated	This area chart shows the percentage of the total notifications received during a reporting quarter where an Immediate Action was initiated for the matter.
N8: Outcome of immediate actions	Percentage of different outcomes of immediate actions for the current financial year and two previous financial years, including accepting surrender, accepting undertaking, I/A not taken, suspend registration and impose conditions.
N9: Median time to take IA	The median time (measured in calendar days) to take I/A for the current financial year and previous financial by quarter
N10: Notifications completed by stage and outcome type this financial year	Percentage of notifications that are completed in the assessment, investigation or health or performance assessment stage. Each stage is then further broken down to highlight the percentage of notifications completed by stage and outcome where the stages are no action, action and referral to a Panel or Tribunal.
	No action includes outcomes where a person surrenders their registration.
N11: Notifications completed by outcome, year by year	Percentage of notifications completed for the current financial year and two previous financial years, by outcome including no action, impose conditions, caution, referral to a Panel or Tribunal and accept undertaking.
N12: Average time to complete notifications	The timeframe of a notification commences when Ahpra accepts the matter as a notification until a final decision has been made on the notification or it is referred to a Panel or Tribunal.
	The graph outlines the average time (measured in calendar days) to complete notifications in the investigation, assessment and health or performance assessment stage for the current financial year and two previous financial years.
N13: Average time to complete assessments	The average time (measured in calendar days) to complete assessments for the current financial year and two previous financial years.
N14: Average time to complete notifications, year by year	The average time (measured in calendar days) to complete notifications for the current financial year and two previous financial years.
N15: Average time to complete notifications by outcome type	The average time (measured in calendar days) to complete notifications for the current financial year and two previous financial years by outcome type including no action, action and referral to a Panel or Tribunal.

N16: Average age of open notifications	The age of an open notification refers to the length of time from when Ahpra accepted the matter as a notification to the end of the relevant reporting period. The graph outlines the average age (measured in calendar days) of open notifications for the current financial year and two previous financial years.
N17: Average age of open notifications by stage	The average age (measured in calendar days) of open notifications for the current financial year and two previous financial years by stage including assessment, investigation and health or performance assessment.
N18: Aged notifications – completed vs open	An aged notification is a notification where it is 12 months or longer since Ahpra has accepted the matter as a notification. The graph shows the number of aged notifications that were completed during the quarter in grey. This is compared to the number of aged notifications that remained open at the end of the quarter. The dark blue shows the number of notifications that were already aged at the end of previous quarter and the light blue shows the notifications that became aged during the reporting period and remained open at the end of the reporting period.
Tribunal and Panel matters	
L1: Open tribunal matters	Open tribunal matters are those tribunal matters that remain open at the end of the reporting period. Tribunal matters will become open tribunal matters when the decision to refer a matter to the Tribunal has been made or when the matter has been filed with the Tribunal. This section shows the number of tribunal matters that were open at the end of the reporting quarter.
L2: Open tribunal matters filed vs yet to be filed	The number of open tribunal matters compared to the number of tribunal matters that are yet to be filed each quarter.
L3: Open tribunal matters	The blue line on this line graph shows the number of tribunal matters open during each reporting month of the current financial year compared with the numbers open at the end of each reporting month of the two previous financial years. The table on the right of the line graph provides the number of open tribunal matters that remained open at the end of each reporting quarter and also provides the difference compared to same time last financial year.
L4: Tribunal matters commenced	Tribunal matters are commenced when the matter is sent to the Tribunal. This line graph, via the blue line, shows the number of tribunal matters commenced during each reporting month of the current financial year compared with the numbers commenced during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of tribunal matters that commenced at the end of each reporting quarter and also provides the difference compared to same time last financial year.

L5: Tribunal matters completed	Completed tribunal matters are matters where there has been an outcome from the tribunal including caution/reprimand/fine, impose condition/undertaking, no action and suspend/cancel. It also includes where a matter is referred back to the National Board. This line graph, via the blue line, shows the number of tribunal matters completed during each reporting month of the current financial year compared with the numbers completed during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of completed tribunal matters during each reporting quarter and also provides the difference compared to same time last financial year.
L6: Age of open tribunal matters	The age of an open tribunal matter commences when the decision to refer to tribunal is recorded in Ahpra's system until the end of the relevant reporting period. This graph shows the age (measured in calendar days) of tribunal matters that remain open each quarter. This includes tribunal matters filed (blue line) and matters yet to be filed (grey line).
L7: Open tribunal matters by timeframe	The timeframe of open tribunal matters each quarter and the percentage of open tribunal matters in each timeframe.
L8: Open tribunal matters by timeframe and filing status	The timeframe of open tribunal matters at the end of the reporting quarter and filing status of the open tribunal matters which is either yet to be filed or filed.
L9: Tribunal matters completed by outcome, year by year	The percentage of tribunal matters completed by outcome including caution/reprimand/fine, impose condition/undertaking, no action and suspend/cancel over the current financial year and two previous financial years. No action includes outcomes where a person surrenders their registration and where the Tribunal refers the matter back to the National Board.
L10: Average time to complete tribunal matters by outcome	The time to complete tribunal matters commences from when the referral decision is recorded in Ahpra's system to when the final decision is made. The graph outlines the average time (measured in calendar days) to complete tribunal matters for the current financial year and two previous financial years by outcome including caution/reprimand/fine, impose condition/undertaking, no action and suspend/cancel.
L11: Open panel matters	Open panel matters are those panel matters that remain open at the end of the reporting period. Panel matters become open panel matters when the decision to refer a matter to the Panel has been made or when there has been a Panel hearing. This section shows the number of panel matters that were open at the end of the reporting quarter.
L12: Open panel matters with hearing vs without hearing	The number of open panel matters with a hearing compared to the number of open panel matters that did not have a hearing.

L13: Open panel matters	This line graph, via the blue line, shows the number of panel matters open during each reporting month of the current financial year compared with the numbers open at the end of each reporting month of the two previous financial years. The table on the right of the line graph provides the number of open panel matters that remained open at the end of each reporting quarter and also provides the difference compared to same time last financial year.
L14: Panel matters commenced	Commencement of panel matters is when the matter is sent to the Panel.
	This line graph, via the blue line, shows the number of panel matters commenced during each reporting month of the current financial year compared with the numbers commenced during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of panel matters that commenced at the end of each reporting quarter and also provides the difference compared to same time last financial year.
L15: Panel matters completed	Completed panel matters are matters where there has been an outcome from the panel including caution/reprimand, impose condition/undertaking, no action, referred to tribunal and suspend. This line graph, via the blue line, shows the number of panel matters completed during each reporting month of the current financial year compared with the numbers completed during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of completed panel matters at the end of each reporting quarter and also provides the difference compared to same time last financial year.
L16: Age of open panel matters	The age of an open panel matter commences when the decision to refer to panel is recorded in Ahpra's system until the end of the relevant reporting period. This graph shows the age (measured in calendar days) of panel matters that remain open each quarter. This includes panel matters with a hearing (blue line) and matters that did not have a hearing (grey line).
L17: Open panel matters by timeframe	The timeframe of open panel matters each quarter and percentage of open panel matters in each timeframe.
L18: Open panel matters by timeframe & hearing status	The timeframe and hearing status, with a hearing or without a hearing, of the open tribunal matters.
L19: Panel matters completed by outcome, year by year	The percentage of panel matters completed by outcome including caution/reprimand, impose condition/undertaking, no action, referred to tribunal and suspend over the current financial year and two previous financial years. No action includes outcomes where a person surrenders their registration.

L20: Average time to complete panel matters by outcome	The time to complete panel matters commences when the decision to refer to panel is recorded in Ahpra's system until the final decision is made. The average time (measured in calendar days) to complete panel matters for the current financial year and two previous financial years by outcome type including caution/reprimand, impose condition/undertaking, no action, referred to tribunal and suspend.
L21: Panel vs tribunal matters commencement	Compares the number of referrals to Panels and Tribunals over the previous financial year and current financial year.
L22: Open matters panel vs tribunal	Compares the number of open tribunal matters and open panel matters over the previous financial year and current financial year.

Criminal Offences

The National Law legislates for a number of offence provisions, including offences relating to advertising of regulated health services and title protections. The Criminal Offences Unit advises on and manages potential breaches of the offence provisions and oversees the prosecution of all criminal offence matters.

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S1: Open criminal offences	Open criminal offences matters are those matters that remain open at the end of the reporting quarter. This line graph, via the blue line, shows the number of criminal offences open during each reporting month of the current financial year compared with the numbers open at end of each reporting month of the two previous financial years. The table on the right of the line graph provides the number of open criminal offences that remained open at the end of each reporting quarter and also provides the difference compared to same time last financial year.
S2: Criminal offences commenced	This line graph, via the blue line, shows the number of criminal offence matters commenced during each reporting month of the current financial year compared with the numbers commenced during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of criminal offence matters commenced at the end of each reporting quarter and also provides the difference compared to same time last financial year.
S3: Criminal offences completed	Completed criminal offence matters are those matters where it has been decided to prosecute or not prosecute. This line graph, via the blue line, shows the number of criminal offence matters completed during each reporting month of the current financial year compared with the numbers completed during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of completed criminal offence matters at the end of each reporting quarter and also provides the difference compared to same time last financial year.

S4: Criminal offences commenced by type of offence	The percentage of criminal offences commenced over the three previous financial years by type of offence including title protections, advertising breach, practice protections, directing or inciting misconduct and other offence. Other offences include breach of a relevant registration standard/endorsement, offences under Schedules 5 and 6 and various other offences.
S5: Criminal offences closed by outcome	The percentage of criminal offences closed during the current financial year and two previous financial years by prosecuted or not prosecuted.

Monitoring and Compliance

Health practitioners and students may have restrictions placed on their registration for a range of reasons including as a result of:

- a notification
- · the assessment of an application for registration or a renewal of registration, or
- after a referral to the tribunal.

These restrictions are actively monitored to ensure compliance.

MC1: Open cases	This section shows the total number of compliance cases open at the end of the reporting quarter.
MC2: Performance	The blue columns demonstrate the average time taken (measured in calendar days) for a monitoring plan to be approved. The grey line demonstrates the median time taken (measured in calendar days) to examine suspected non-compliance.
MC3: Compliance cases commenced	A compliance case commences when a monitoring plan is approved. The blue line on this line graph shows the number of compliance cases commenced during each reporting month of the current financial year compared with the numbers commenced during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of compliance cases that commenced at the end of each reporting quarter and also provides the difference compared to same time last financial year.
MC4: Compliance cases completed	A compliance case is completed when the registrant has complied with the requirements of the restriction imposed on their registration or the person is no longer registered. It should be noted that some matters will require monitoring of compliance activity for significant periods, including some for the lifespan of a practitioner's registration. A National Board decides when to remove a requirement for monitoring a practitioner's compliance with conditions on their registration when it is assured conditions are no longer needed to keep the public safe. The length of time a practitioner is monitored is tailored to the risk posed by the individual practitioner. The blue line on this line graph shows the number of compliance cases completed during each reporting month of the current financial year compared with the numbers completed during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of completed compliance cases at the end of each reporting quarter and also provides the difference compared to same time last financial year.

MC5: Open compliance cases	Open compliance cases are those matters that remain open at the end of the reporting period. The blue line on this line graph shows the number of compliance cases open during each reporting month of the current financial year compared with the numbers open during each reporting month of the two previous financial years. The table on the right of the line graph provides the number of open compliance cases that remained open at the end of each reporting quarter and also provides the difference compared to same time last financial year.
MC6: Open compliance cases at the end by stream	The number of compliance cases (excluding suitability/eligibility) open at the end of the current financial year and two previous financial years by stream including conduct, health, performance and prohibited practitioner/student.
MC7: Open compliance cases at the end (excluding suitability/eligibility)	The number of compliance cases (excluding suitability/eligibility) open at the end of the current financial year and two previous financial years by stream including conduct, health, performance and prohibited practitioner/student.
MC8: High risk cases open at the end by compliance status	The risk profile identifies those registrants that will cause the public, themselves or the reputation of the National Boards and Ahpra significant and immediate harm if the behaviour or conduct leading to the restrictions was to recur. High risk cases are those cases that involve a registrant who has been evaluated to have a high risk profile if the recurrence of the behaviour will cause significant and immediate harm. This graph shows the percentage of high risk cases open at the end of the two previous financial years and current financial year by compliance status including compliant, non-compliant being managed and compliance being assessed.
MC9: Normal risk cases open at the end by compliance status	Normal risk cases are those cases that involve a registrant who has been evaluated to have a normal risk profile if the recurrence of the behaviour or conduct will not cause the public, the registrant or the reputation of the National Boards and Ahpra significant and immediate harm. This graph shows the percentage of normal risk cases open at the end of the current financial year and two previous financial years by compliance status including compliant, non-compliant being managed and compliance being assessed.
MC10: Proportion of registrants subject to monitoring cases	The percentage of registrants in each health profession subject to monitoring cases. The proportion of Aboriginal and Torres Strait Islander health practitioners and Chinese medicine practitioners subject to restrictions is high for the following reasons: • Scope of practice conditions are imposed on some Aboriginal and Torres Strait Islander health practitioners to limit their scope of practice in the administration of medications as the pre-registration course undertaken by the practitioner was lacking in this regard. • English language conditions were imposed on a large number of Chinese medicine practitioners when they applied for registration when this profession entered the scheme in 2012.